

REPORT

DATE: May 3, 2007
TO: Regional Council
FROM: Wayne Moore, Chief Financial Officer, moore@scag.ca.gov, (213) 236-1804
SUBJECT: Final Adoption of Fiscal Year 2007-08 Comprehensive Budget & Resolution

EXECUTIVE DIRECTOR'S APPROVAL:



RECOMMENDED ACTION:

Adopt the FY2007-2008 Overall Work Program and Resolution #07-485-4 (attached) which authorizes this action and submittal of the OWP to Caltrans and other funding agencies as necessary for approval.

SUMMARY:

In September 2006, the RC adopted the FY 2007-2008 OWP preparation schedule, SCAG management developed the project ranking criteria to be used to recommend projects for funding, and the selection criteria and process was communicated to SCAG and Subregional staff. Subsequently, the Regional Council approved work program outcomes for the coming fiscal year. Using the outcomes as a guide, project proposals were prepared by SCAG and Subregional staff and ranked by SCAG management according to the established criteria. From November to February, multiple project reviews were conducted by SCAG management, in consultation with SCAG project managers and Subregional staff, as necessary, resulting in the development of a preliminary work program.

On March 1, 2007, the Regional Council approved the FY 2007-2008 Comprehensive Budget which included the OWP. That same day, the FY 2007-2008 OWP was released for public review and comment with comments due on April 2, 2007. During the comment period, comments were received from several subregions requesting revisions and/or technical corrections. On April 2, 2007, a comment letter was received from Caltrans. Based on all comments received, the OWP has been revised. Changes to the final document also include revisions to project carryover estimates. The final OWP document meets all applicable federal and state requirements.

BACKGROUND:

The OWP contains several sources of revenue. The major source is the Consolidated Planning Grant (CPG) which is the primary revenue source used to meet SCAG's MPO requirements and addresses the Federal Planning Emphasis Areas (PEA's) of Federal and State Departments of Transportation. Significant non-CPG grants include the Federal Highway Administration's State Planning & Research grant and the California Department of Transportation for Blueprint Planning Studies grant funds.

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A breakout of the FY07-08 program revenues and expenditures are listed below:

Table 1 – Final 07-08 OWP Revenues

Revenues:	Final OWP
CPG	\$ 33,240,985
State Planning & Research (SP&R)	\$ 1,260,000
FTA 5305	\$ 901,926
Federal Other	\$ 296,936
State Other	\$ 1,339,867
TDA	\$ 1,066,732
Third Party Commitments	\$ 3,756,464
Local Other	\$ 386,380
TOTAL	\$ 42,249,290

Table 2 – Comparison of Expenditures – Draft OWP vs Final OWP*

Expenditures:	Draft OWP	Final OWP
SCAG Operations	\$ 24,806,280	\$ 25,137,608
SCAG Consultants	\$ 12,253,051	\$ 12,892,484
Subregional Staff	\$ 299,354	\$ 514,710
Subregional Consultants	\$ 3,152,276	\$ 2,756,488
Other Direct Costs	\$ 579,133	\$ 948,000
TOTAL	\$41,090,294	\$ 42,249,290

The change from the draft OWP to the final OWP submittal totals \$1,158,996 (see attached Errata to the OWP) . The significant expenditure revisions are as follow:

SCAG Operations:

- Revised Indirect Cost recovery estimate based on audited financial statements (approx. \$330,000 increase to Indirect Cost)

SCAG Consultants/Sub-Regional Staff/Sub-Regional Consultants:

- Revised Carry-Over Cost Estimates - Both our sub-regional partners and SCAG consultant project carry-over requests increased, including match requirements (approx. \$63,700)
- During the 30-public comment period, SCAG received several requests to revise the proposed projects captured in the draft OWP whether scope revisions or budget request (approx. \$375,000)

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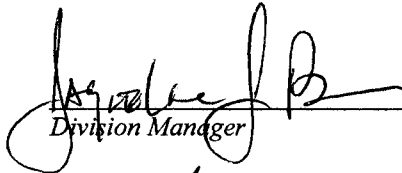
Other Direct Cost:

- “Other Cost” directly associated to the OWP – Additional requirement cost to OWP programs that were not included in the draft (Census Transportation Planning Products CTPP) Pooled Fund Project – approx. \$390,000);


FISCAL IMPACT:

The OWP is the instrument that allows SCAG to manage projects and budgets. Approval of this document will continue the flow of federal planning funds for FY 2007-2008.

Reviewed by:


Division Manager

Reviewed by:


Chief Financial Officer

RESOLUTION NUMBER 07-487-1
RESOLUTION OF THE SOUTHERN CALIFORNIA ASSOCIATION OF
GOVERNMENTS TO APPROVE AND ADOPT THE FISCAL YEAR 2007-2008
COMPREHENSIVE BUDGET

WHEREAS, the Southern California Association of Governments (SCAG) is the Metropolitan Planning Organization (MPO) for six counties: Los Angeles, Orange, San Bernardino, Riverside, Ventura and Imperial;

WHEREAS, SCAG has developed the Fiscal Year (FY) 2007-2008 Comprehensive Budget that includes the following budget components: the General Fund, Overall Work Program (OWP), Indirect Cost Budget (ICAP), and the Fringe Budget;

WHEREAS, the OWP is the basis for SCAG's annual activities; and

WHEREAS, in conjunction with the Overall Work Program Agreement and Master Fund Transfer Agreement, the OWP constitutes the annual funding contract between the State of California Department of Transportation (Caltrans) and SCAG for Consolidated Planning Grant (CPG) funding.

NOW, THEREFORE, BE IT RESOLVED by the Regional Council of the Southern California Association of Governments, that the Regional Council does hereby approve and adopt the FY 2007-2008 Comprehensive Budget.

BE IT FURTHER RESOLVED THAT:

1. The Regional Council hereby authorizes submittal of SCAG's approved FY 2007-2008 Draft OWP to the participating State and Federal agencies;
2. The Regional Council hereby authorizes submittal of SCAG's approved FY 2007-2008 ICAP to the participating State and Federal agencies;
3. The Regional Council authorized the release of SCAG's approved FY 2007-2008 Draft OWP for a thirty-day public comment period on March 1, 2007, and all comments have been addressed and incorporated into the final budget;
4. The Regional Council hereby authorizes submittal of SCAG's approved FY 2007-2008 General Fund budget to the General Assembly;
5. SCAG pledges to pay or secure in cash or services, or both, the matching funds necessary for financial assistance;
6. The SCAG Executive Director, or in his absence, the Deputy Executive Director, is hereby designated and authorized to submit the FY 2007-2008 OWP, and to execute all related agreements and documents on behalf of the Regional Council, to implement the purposes of this Resolution;

7. The SCAG Executive Director, or in his absence, the Deputy Executive Director, is hereby authorized to make and submit to funding agencies, the necessary work program and budget modifications to the FY 2007-2008 OWP based on actual available funds, and to draw funds as necessary on a letter of credit or other requisition basis; and
8. The Executive Director, or in his absence, the Deputy Executive Director, is hereby authorized to make administrative changes to the FY 2007-2008 OWP.

APPROVED AND ADOPTED by the Regional Council of the Southern California Association of Governments at a regular meeting this 3rd day of May, 2007.

YVONNE B. BURKE
President
Supervisor, County of Los Angeles

Attested by:

Mark Pisano
Executive Director

Approved as to form:

Joanne Africa
Acting Director of Legal Services

Memorandum

To: Annual MPO Meeting Group

From: Wayne Moore, CFO

Date: April 19, 2007

Subject: ERRATA TO THE DRAFT FY 2007-08 OVERALL WORK PROGRAM (OWP)

At their March 1, 2007 meetings, the Administration Committee and Regional Council approved the release of the Draft 07-08 Overall Work Program for public comments. During the comment period, comments were received from several subregions requesting revisions and/or technical corrections. On April 2, 2007, a comment letter was received from Caltrans. Based on all comments received, the OWP's text and budget have been revised.

The following are changes to the OWP budget:

Incorrect WBS: *These projects had an incorrect WBS identification number.*

07-065.SCGC2 – Compass Blueprint Implementation in Orange County
07-080.SCGC3 – HPMS/RTMIS Implementation
08-065.WRCC2 – TOD Opportunities with Bus Rapid Transit

New WBS
08-065.SCGC2
08-080.SCGC3
08-065.WRCC1

Deleted Project: *At the request of the Westside Cities Subregion, this project was deleted and its budget was reprogrammed to increase Westside Cities' consultant project, 06-065.WSTC1.*

	<u>New Budget</u>	<u>Net +/-(-)</u>
08-065.WSTS1 – Workforce Housing Feasibility & Research Project	\$ 0	(\$15,814)

Deleted Project: *Project was re-evaluated and deleted. Budget was reprogrammed to add a new project – 08-140.SCGC6 (Gold Line Extension Feasibility Study)*

	<u>New Budget</u>	<u>Net +/-(-)</u>
05-140.SGV51 – Gold Line Phase II obo SGV	\$ 0	(\$ 112,956)

Budget Decrease: After review of workscope, budget for these projects were reduced. No change in workscope.

	<u>New Budget</u>	<u>Net +/-(-)</u>
08-040.SCGC2 – Integrated Land Use Transportation Model (orig. \$300,000)	\$ 260,000	(\$ 40,000)
07-060.SCGC5 – I-710 RSTIS Corridor Environmental Study Technical (orig. \$338,869)	\$300,000	(\$38,869)

Corrected Carryover Budget: Project budget was corrected to reflect approved FY06-07 Budget.

	<u>New Budget</u>	<u>Net +/-(-)</u>
07-140.AVGC1 – Missing Link-Phase II (orig. \$127,592)	\$ 112,957	(\$ 14,635)
07-140.CLAS1 – High Speed Transit (orig. \$47,841)	\$ 42,359	(\$ 5,482)

Added New Projects (*worksopes for these projects are attached*)

	<u>New Budget</u>	<u>Net +/-(-)</u>
08-140.SCGC6 – Gold Line Extension Feasibility Study	\$100,000	\$100,000
08-040.WRCC1 – Subregional Resident Survey	\$ 96,013	\$ 96,013
08-040.SCGC6 – Imperial County Aerial Imagery	\$100,000	\$100,000

Added Carryover Projects: *These projects were not completed as planned in FY 06-07 and need to be continued in FY 07-08 OWP:*

	<u>New Budget</u>	<u>Net +/- (-)</u>
07-065.LACS1 – LA County Urban Infill Estimation Project (staff)	\$ 46,235	\$46,235
07-070.CLAS1 – Transfer of ATSAC, Traffic County & Develop. Data	\$ 22,591	\$22,591
06-070.WRCS1 – Western Riverside TAZ Update	\$ 15,000	\$15,000

Budget Increase: *The budget for the staff project (08-040.SCGS1) was increased to add approx. \$350,000 to the "other" expenditures for Census Transportation Planning Products CTPP) Pooled Fund Project as required in a letter received by Dot. The budget for the other projects were underestimated and needed to be increased to be consistent with the work scope and project completion schedule.*

	<u>New Budget</u>	<u>Net +/-(-)</u>
08-040.SCGS1 – Data Staff (orig. \$1,306,634)	\$ 1,778,099	\$ 471,465
08-065.WSTC1 – Workforce Housing Feasibility & Research Study (orig. \$158,138)	\$ 173,952	\$ 15,814
08-065.SCGC2 – Compass Blueprint Implementation in Orange County (orig. \$65,000)	\$ 90,365	\$ 25,365
07-065.LACC1 – LA County Urban Infill Estimation Project (orig. \$40,664)	\$ 89,314	\$ 48,650
06-130.SCGC09 – Goods Movement Air Quality Strategy (orig. \$35,932)	\$ 100,000	\$ 64,068

Project Split: *Project 08-065.WRCC2 with a budget of \$118,604 will be split into 2 projects because the consultant work described will require Subregional staff support.*

	<u>New Budget</u>	<u>Net +/-(-)</u>
08-065.WRCC1 – TOD Development Opportunities-cons	\$ 79,069	(\$ 39,535)
08.065.WRCS1 – TOD Development Opportunities-staff	\$ 39,535	\$ 39,535

Correction to NON-CPG Fund Project:
Adjusted the in-kind local match requirement.

	<u>New Budget</u>	<u>Net +/-(-)</u>
06-225.SCGC1 – Regional Aviation Reporting (orig. \$41,873)	\$42,665	\$ 792

TOTAL NET INCREASE/ (DECREASE)	\$778,237
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In addition, staff budgets were adjusted slightly to reflect a revised indirect cost recovery estimate based on audited financial statements (approx. \$330,000 increase to Indirect Cost)

If you have any questions, please call Jacqueline Bobo at (213) 236-1811.

08-040.SCGC6 Imperial County Aerial Imagery

Mgr: LIU

Proj Mgr chang

Sub region:

Budget

Budget: \$100,000

Salaries	Benefits	Temps	Indirect	Print	Travel	Consult	Sub Staff	Sub Cons	Other	3rd Party
0	0	0	0	0	0	100,000	0	0	0	0
FHWA	FTA	SPR	5313	Fed Other	TDA	State Other	Cash Match	3rd Party	Local Other	
88,530	0	0	0	0	11,470	0	0	0	0	0

Previous / Objectives**Previous Accomplishments:**

SCAG completed the collection of 1-foot and 2-foot aerial imagery for all of Imperial County. This imagery was done at 1-foot for 25% of the county and at 2-foot for the remaining area.

Objectives:

To collect aerial imagery for Imperial County which will be used primarily for updating SCAG's existing land use databases.

Steps and Products**Steps**

	Q1	Q2	Q3	Q4
1 Create an Oversight Committee to seek input and guidance on model design, development and implementation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Collect 1-foot imagery for the non-urban areas of Imperial County	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3 Collect 6-inch imagery for the urban areas of Imperial County	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4 Collect ground controls points from the Imperial GIS Advisory Committee	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5 Obtain a seamless digital imagery product for use as a GIS analytical tool	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6 Acquire a product, which will facilitate identification of changes since the previous aerial survey	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7 Obtain a product that will allow responses to requests for image backgrounds, and other data that SCAG may be able to provide in an interactive web-based environment.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Products

	Q1	Q2	Q3	Q4
1 New 6-inch resolution true color digital orthorectified, seamless imagery for urban area in TIFF format	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2 New 1-foot resolution true color digital orthorectified, seamless imagery for non-urban areas in TIFF format	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 Data to be delivered in State Plane V, NAD 83 and UTM ZONE 11, NAD 83	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
4 Index of title structure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
5 Metadata (documentation) for the TIFF files	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

08-040.WRCC1 Subregional Resident Survey

Mgr: LIU

Proj Mgr minjares

Sub region: WRC

Budget

Budget: \$96,013

Salaries	Benefits	Temps	Indirect	Print	Travel	Consult	Sub Staff	Sub Cons	Other	3rd Party
0	0	0	0	0	0	0	0	85,000	0	11,013
FHWA	FTA	SPR	5313	Fed Other		TDA	State Other	Cash Match	3rd Party	Local Other
0	85,000	0	0	0		0	0	0	11,013	0

Previous / Objectives**Previous Accomplishments:**

New project.

Objectives:

WRCOG, in concert with Coachella Valley Association of Governments (CVAG), desires to conduct an annual survey of residents in both subregions in order to gauge public opinion regarding a range of regional, subregional, and local issues as they pertain to growth, infrastructure, environment and economy. The survey intends to be similar to past efforts conducted throughout the state, most notably the Orange County Annual Survey that is "hosted" each year by the University of California, Irvine.

In a society in which we strive to quantify nearly everything, perhaps nothing remains as valuable in genuinely shaping public policies as the measures of public opinion. Surveys provide snapshots that portray community perceptions and preference, and can report on what people know, or on what they want to know. WRCOG hopes to prepare the survey annually -- recognizing that after an interval of time of several years, the real value of opinion can be examined as the surveys can reveal whether opinions are changing. Public opinion can also be compared to actual indicators to gauge whether what people think is occurring on a particular topic is actually true or not. Public opinion often establishes the cornerstones of public policy. In addition to the conduct of the survey, WRCOG will convene an event and solicit broad-based media coverage, for elected officials and other key policy-makers and staff to view results.

This objective supports the economic vitality, especially by enabling global competitiveness, productivity and efficiency. Project also promotes the protection and enhancement of the environment, promote energy conservation, and improve quality of life.

Steps and Products**Steps**

	Q1	Q2	Q3	Q4
1 Develop survey questions and refine/finalize methodology	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2 Conduct survey work and compile results	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3 Translate findings into presentation materials for public consumption	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4 Develop interest list and make arrangements for annual meeting forum to review survey results	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5 Complete survey, prepare final report and powerpoint presentation for placement on WRCOG and CVAG website, convene annual form to present finds.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Products

	Q1	Q2	Q3	Q4
1 Final survey report for public consumption	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2 Press release	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3 Final report to SCAG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

08-140.SCGC6 Gold Line Extension Feasibility Study

Mgr: MACIAS

Proj Mgr HUDDY

Sub region:

Budget

Budget: \$100,000

Salaries	Benefits	Temps	Indirect	Print	Travel	Consult	Sub Staff	Sub Cons	Other	3rd Party
0	0	0	0	0	0	100,000		0	0	0
FHWA	FTA	SPR	5313	Fed Other	TDA	State Other	Cash Match	3rd Party	Local Other	
88,530	0	0	0	0	11,470	0	0	0	0	0

Previous / Objectives**Previous Accomplishments:**

New project.

Objectives:

To determine the feasibility of an extension of the Gold Line eastward from the current planned terminus at Montclair to the Ontario Airport.

Steps and Products**Steps**

	Q1	Q2	Q3	Q4
1 Identify and analyze alternative routes, station locations, performance, patronage, operations, and costs for possible light rail connection to Ontario Airport by extending the Gold Line from Montclair.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
2 Conduct stakeholder outreach and assess community impacts, benefits and mitigations.	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
3 Prepare a draft and final report on the findings of the feasibility and factors identified for extension of the Gold Line to Ontario Airport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Products

	Q1	Q2	Q3	Q4
1 Technical Memoranda on routes, stations, performance, patronage, operations, and costs.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2 Draft and Final Reports with recommendations on feasibility and next steps.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>